



ATTORNEY DOCKET: 72167.000158

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 09/495,393 Confirmation No.: 4790  
Applicant : Albert Kwang-Hwa SUN  
Filed : January 31, 2000  
Title : SYSTEM AND METHOD FOR INTEGRATING TRADING  
OPERATIONS INCLUDING THE GENERATION, PROCESSING  
AND TRACKING OF AND TRADE DOCUMENTS  
TC/Art Unit : 3624  
Examiner: : Richard Weisberger  
Docket No. : 72167.000158  
Customer No. : 21967

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications under 35 U.S.C. 371, are not being provided.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not